

ROAD REPAIR AND ACCOUNTABILITY ACT OF 2017
PROJECT BASELINE AGREEMENT

U.S. 101 Connected Communities Corridor Rail and Active Transportation Improvements in Ventura County

Resolution [REDACTED]
(to be completed by CTC)

1. FUNDING PROGRAM

- Active Transportation Program
- Local Partnership Program (Competitive)
- Solutions for Congested Corridors Program
- State Highway Operation and Protection Program
- Trade Corridor Enhancement Program

2. PARTIES AND DATE

2.1 This Project Baseline Agreement (Agreement) effective on [REDACTED] (will be completed by CTC), is made by and between the California Transportation Commission (Commission), the California Department of Transportation (Caltrans), the Project Applicant, [REDACTED] VCTC and Caltrans, and the Implementing Agency, [REDACTED] VENTURA, LOSSAN, CAMARILLO, VCTC, sometimes collectively referred to as the "Parties".

3. RECITAL

- 3.1 Whereas at its [REDACTED] 6/28/2023 meeting the Commission approved the [REDACTED] Solutions for Congested Corridors Program and included in this program of projects the [REDACTED] U.S. 101 Connected Communities Corridor Rail and Active Tr, the parties are entering into this Project Baseline Agreement to document the project cost, schedule, scope and benefits, as detailed on the Project Programming Request Form attached hereto as *Exhibit A*, the Project Report attached hereto as *Exhibit B*, the Performance Metrics Form, if applicable, attached hereto as *Exhibit C*, as the baseline for project monitoring by the Commission.
- 3.2 The undersigned Project Applicant certifies that the funding sources cited are committed and expected to be available; the estimated costs represent full project funding; and the scope and description of benefits is the best estimate possible.

4. GENERAL PROVISIONS

The Project Applicant, Implementing Agency, and Caltrans agree to abide by the following provisions:

- 4.1 To meet the requirements of the Road Repair and Accountability Act of 2017 (Senate Bill [SB] 1, Chapter 5, Statutes of 2017) which provides the first significant, stable, and on-going increase in state transportation funding in more than two decades.
- 4.2 To adhere, as applicable, to the provisions of the Commission:
 - Resolution [REDACTED] G-23-49, "Adoption of Program of Projects for the Active Transportation Program", dated [REDACTED] 6/28/2023
 - Resolution [REDACTED], "Adoption of Program of Projects for the Local Partnership Program", dated [REDACTED]
 - Resolution [REDACTED] G-23-45, "Adoption of Program of Projects for the Solutions for Congested Corridors Program", dated [REDACTED] 6/28/2023
 - Resolution [REDACTED], "Adoption of Program of Projects for the State Highway Operation and Protection Program", dated [REDACTED]
 - Resolution [REDACTED], "Adoption of Program of Projects for the Trade Corridor Enhancement Program", dated [REDACTED]

- 4.3 All signatories agree to adhere to the Commission's Guidelines. Any conflict between the programs will be resolved at the discretion of the Commission.
- 4.4 All signatories agree to adhere to the Commission's SB 1 Accountability and Transparency Guidelines and policies, and program and project amendment processes.
- 4.5 **VENTURA, LOSSAN, CAMARILLO, VCTC** agrees to secure funds for any additional costs of the project.
- 4.6 **VENTURA, LOSSAN, CAMARILLO, VCTC** agrees to report to Caltrans on a quarterly basis; on the progress made toward the implementation of the project, including scope, cost, schedule, and anticipated benefits/performance metric outcomes.
- 4.7 Caltrans agrees to prepare program progress reports on a on a semi-annual basis and include information appropriate to assess the current state of the overall program and the current status of each project identified in the program report.
- 4.8 **VENTURA, LOSSAN, CAMARILLO, VCTC** agrees to submit a timely Completion Report and Final Delivery Report as specified in the Commission's SB 1 Accountability and Transparency Guidelines.
- 4.9 **VENTURA, LOSSAN, CAMARILLO, VCTC** agrees to submit a timely Project Performance Analysis as specified in the Commission's SB 1 Accountability and Transparency Guidelines.
- 4.10 All signatories agree to maintain and make available to the Commission and/or its designated representative, all work related documents, including without limitation engineering, financial and other data, and methodologies and assumptions used in the determination of project benefits and performance metric outcomes during the course of the project, and retain those records for six years from the date of the final closeout of the project. Financial records will be maintained in accordance with Generally Accepted Accounting Principles.
- 4.11 The Inspector General of the Independent Office of Audits and Investigations has the right to audit the project records, including technical and financial data, of the Department of Transportation, the Project Applicant, the Implementing Agency, and any consultant or sub-consultants at any time during the course of the project and for six years from the date of the final closeout of the project, therefore all project records shall be maintained and made available at the time of request. Audits will be conducted in accordance with Generally Accepted Government Auditing Standards.

5. SPECIFIC PROVISIONS AND CONDITIONS

5.1 Project Schedule and Cost

See Project Programming Request Form, attached as Exhibit A.

5.2 Project Scope

See Project Report or equivalent, attached as Exhibit B. At a minimum, the attachment shall include the cover page, evidence of approval, executive summary, and a link to or electronic copy of the full document.

5.3 Performance Metrics

See Performance Metrics Form, if applicable, attached as Exhibit C.

5.4 Additional Provisions and Conditions (Please attach an additional page if additional space is needed.)

The state will not cover costs in the event of a cost overrun.

Attachments:

- Exhibit A: Project Programming Request Form
- Exhibit B: Project Report
- Exhibit C: Performance Metrics Form (if applicable)

SIGNATURE PAGE
TO
PROJECT BASELINE AGREEMENT

Project Name U.S. 101 Connected Communities Corridor Rail and Active Transportation Improvements in Ventura County

Resolution

(to be completed by CTC)

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| <div style="border: 1px solid black; height: 20px; background-color: #f0f0f0; margin-bottom: 5px;"></div> <hr/> <p>Martin Erickson, Executive Director</p> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">Ventura County Transportation Commission</div> <p>Project Applicant</p> | <div style="border: 1px solid black; height: 20px; background-color: #f0f0f0; margin-bottom: 5px;"></div> <hr/> <p>Date</p> |
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| <div style="border: 1px solid black; height: 20px; background-color: #f0f0f0; margin-bottom: 5px;"></div> <hr/> <p>Phil Nelson, Public Works Director</p> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">City of Ventura</div> <p>Implementing Agency</p> | <div style="border: 1px solid black; height: 20px; background-color: #f0f0f0; margin-bottom: 5px;"></div> <hr/> <p>Date</p> |
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| <div style="border: 1px solid black; height: 20px; background-color: #f0f0f0; margin-bottom: 5px;"></div> <hr/> <p>Gloria Roberts</p> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">District Director</div> <p>California Department of Transportation</p> | <div style="border: 1px solid black; height: 20px; background-color: #f0f0f0; margin-bottom: 5px;"></div> <hr/> <p>Date</p> |
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| <div style="border: 1px solid black; height: 20px; background-color: #f0f0f0; margin-bottom: 5px;"></div> <hr/> <p>Tony Tavares</p> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">Director</div> <p>California Department of Transportation</p> | <div style="border: 1px solid black; height: 20px; background-color: #f0f0f0; margin-bottom: 5px;"></div> <hr/> <p>Date</p> |
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ADDITIONAL SIGNATURE PAGE
TO
PROJECT BASELINE AGREEMENT
Project Title: U.S. 101 Connected Communities Corridor Rail and
Active Transportation Improvements in Ventura County
Resolution: _____
(to be completed by CTC)

_____ Date

Caltrans
Project Applicant

_____ Date

LOSSAN Rail Corridor Agency
Implementing Agency

_____ Date

City of Camarillo
Implementing Agency

_____ Date

Ventura County Transportation Commission
Implementing Agency